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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM

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Total Number of Pages in This Submission

Application Number	09/852,709
Filing Date	May 11, 2001
First Named Inventor	Noel Heiks
Group Art Unit	Not Yet Assigned
Examiner Name	Not Yet Assigned
Attorney Docket Number	A1148.0000/P010

ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below) IDS Citation
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Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual Name	DICKSTEIN SHAPIRO MORIN & OSHINSKY LLP William E. Powell, III
Signature	<i>W. E. Powell, III</i>
Date	August 14, 2001

MAILED 10/11/01
U.S. PATENT & TRADEMARK OFFICE

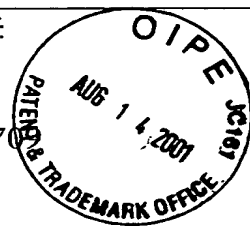
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Noel Heiks, et al.

Application No.: 09/852,700

Filed: May 11, 2001

For: OPTICAL SWITCH ASSEMBLY AND
METHOD FOR MAKING



Group Art Unit: 2874

Examiner: Not Yet Assigned

INFORMATION DISCLOSURE STATEMENT (IDS)

Commissioner for Patents
Washington, DC 20231

Dear Sir:

Pursuant to 37 CFR 1.56, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is filed before the mailing date of a first Office Action on the merits as far as is known to the undersigned.

A concise explanation of relevance of the items listed on form PTO/SB/08 is:

☒ not given

☐ given for each listed item

☐ given for only non-English language listed items

☐ in the form of an English language copy of a Search Report from a foreign patent office, issued in a counterpart application, which refers to the relevant portions of the references

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While the information and references disclosed in this Information Disclosure Statement may be "material" pursuant to 37 CFR 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to therein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 CFR 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists. It is submitted that the Information Disclosure Statement is in compliance with 37 CFR 1.98 and the Examiner is respectfully requested to consider the listed references.

The Commissioner is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 04-1073, under Order No. A1148.0000/P010. A duplicate copy of this paper is enclosed.

Dated: August 14, 2001

Respectfully submitted,

By 

William E. Powell, III

Registration No.: 39,803

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OSHINSKY LLP

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Attorneys for Applicant

*** APPLICATION INFORMATION DISPLAY ***
08/15/01 12:25

SC/SN: 09/852709
FILD: 05/11/01

DETAIL
INFORMATION:

CONTENTS:

PATNO: PUBNO: F852709
ISSDT: 00/00/00 PUBDT: 00/00/00
ABNDT: 00/00/00 PGPUB CL/SC: /
APPL: HEIKS ET AL
LOC: 0300 LOCDT: 07/10/01 BATNO:
CHG-LOC: IE TEAM: 00 ISSNO: 00
CHGTO-NAME: NO NAME FOUND
TOT ACT: 00 STATUS: 019 STADT: 05/14/01
RESP CD: INCD START DT: 07/09/01 DUE DT: 09/07/01
EXMR NO/NAME: 00000/NO NAME FOUND
DOCKET DATE: / / GAU: 0000 L R CD: 01
ATTY DOCK #: A1148.0000/P LOST N LOST DT 00/00/00
APPLN TYPE: 2 TYPE SM ENT: 0 UNMAT PET: N
CURR CL/SC: 385/ FOR PRIOR CL: N PET FAOM:
TITLE OF INVENTION: UNAVAIL FOR ACTION: N PP UNAVAIL: 0
OPTICAL SWITCH ASSEMBLY AND METHOD FOR MAKING

04 INCD 0 07/09/01
03 C.AD 0 07/07/01
02 SCAN E 05/16/01
01 IEXX 0 05/11/01
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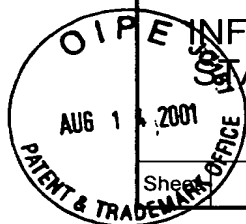
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Substitute for form 1449A/PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Application Number	09/852,709
		Filing Date	May 11, 2001
		First Named Inventor	Noel Heiks
		Group Art Unit	2874
		Examiner Name	Not Yet Assigned
		Attorney Docket Number	A1148.0000/P010
Sheet 1 of 1			



U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
MJS	A	6,160,936		You et al.	12/12/00	
MJS	B	6,118,917		Lee et al.	09/12/00	
MJS	C	5,909,524		Tabuchi	06/01/99	

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. ¹	Foreign Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴				

Examiner Signature	Date Considered
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¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the application number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
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